

**First and second generation gamma-secretase modulators (GSMs) modulate Abeta production through different mechanisms**

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**Background:**  $\gamma$ -secretase modulators (GSMs) hold potential as disease modifiers in Alzheimer's disease, however their mechanism of action is not completely understood.

**Results:** Second generation in-vivo active GSMs were described and shown to modulate A $\beta$  production via a non-APP targeting mechanism, different from the NSAIDs class of GSMs.

**Conclusion:** A growing class of second generation GSMs appears to target  $\gamma$ -secretase and displays a different mechanism of action compared to first generation GSMs.

**Significance:** The identification of in-vivo active non-APP targeting second generation GSMs may facilitate the development of novel therapeutics against AD.

#### Abstract

**$\gamma$ -Secretase mediated cleavage of APP results in the production of Alzheimer's disease (AD)-related A $\beta$  peptides. The A $\beta$ 42 peptide in particular plays a pivotal role in AD pathogenesis and represents a major drug target. Several  $\gamma$ -secretase modulators (GSMs), such as the NSAIDs R-flurbiprofen and sulindac sulfide, have been suggested to modulate the Alzheimer's-related A $\beta$  production by targeting the Amyloid precursor protein (APP). Here we describe novel GSMs which are selective for A $\beta$  modulation and do not impair Notch, EphB2 or EphA4 processing. The GSMs modulate A $\beta$  both in cell and cell-free systems as well as lower amyloidogenic A $\beta$ 42 levels in the mouse brain. Both radioligand binding and cellular cross-competition experiments reveal a competitive relationship between the AstraZeneca (AZ) GSMs and the established second-generation GSM, E2012, but a non-competitive interaction between AZ GSMs and the first generation GSMs R-flurbiprofen and sulindac sulfide. The binding of a [<sup>3</sup>H]-labeled AZ GSM analogue does not co-localize with APP but overlaps anatomically with a  $\gamma$ -secretase targeting inhibitor in rodent brains. Combined these data provide compelling evidence of a**

**growing class of in-vivo active GSMs, which are selective for A $\beta$  modulation and have a different mechanism of action compared to the original class of GSMs described.**

#### Introduction

The amyloid beta peptide (A $\beta$ ) plays a pivotal role in Alzheimer's disease (AD) pathogenesis. A $\beta$  is a 33-42 amino-acid post-proteolytic peptide derived from amyloid precursor protein (APP) as the result of sequential cleavages by  $\beta$ - and  $\gamma$ -secretase respectively, where the latter activity results in peptides with different C-termini and lengths. Genetic and mechanistic data strongly suggest that the amyloidogenic A $\beta$ 42 peptide plays a crucial contributing role in A $\beta$  misfolding and AD pathogenesis (1). Accordingly, A $\beta$  and A $\beta$ 42 targeting approaches, including  $\beta$ - and  $\gamma$ -secretase directed inhibitors, represent major principles for therapeutic intervention in AD.  $\gamma$ -Secretase is a promiscuous enzyme, with regard to substrate specificity, and catalyzes the proteolysis of more than 50 type 1 membrane proteins, including the Notch and Eph families of receptors (2).  $\gamma$ -Secretase dependent Notch signaling plays an important role in different contexts of cell signaling, and this feature has complicated the development of  $\gamma$ -secretase inhibitors (GSIs) as a therapeutic strategy for AD (3).

The challenge with  $\gamma$ -secretase inhibition has warranted alternative strategies to combat A $\beta$  generation. Approximately 10 years ago, Koo and colleagues presented the novel concept of  $\gamma$ -secretase modulation (GSM), in which the production of amyloidogenic A $\beta$ 42 peptides is lowered while the production of shorter A $\beta$  peptides, such as A $\beta$ 38, is increased (4). Several NSAIDs, such as ibuprofen, sulindac sulfide and indomethacin, exhibit these features and, most importantly, these drugs appear to be Notch sparing and thus mitigate a major hurdle associated with  $\gamma$ -secretase inhibition. Importantly, A $\beta$  modulation has been observed in different preclinical animal models, such as in mice and dogs, suggesting that A $\beta$  modulation indeed is a druggable approach (5, 6). Recently the NSAID-like GSM R-flurbiprofen (Tarenflurbil) was tested in phase 3 clinical trials for mild to moderate AD, but

the trials did not provide evidence for halting disease progression. It is unclear, however, whether the drug actually lowered CNS A $\beta$ 42 levels and thus questions remain whether the validity of CNS A $\beta$  modulation as a therapeutic approach in AD has been accurately tested (7).

The mechanism by which GSMs of the NSAID class modulate A $\beta$  production is emerging. Experiments with isotope-labeled, non-transition state  $\gamma$ -secretase inhibitors have revealed a non-competitive mechanism for NSAIDs (8). Pharmacological and biochemical experiments have suggested a direct interaction of certain NSAIDs with the APP-derived immediate substrate for  $\gamma$ -secretase,  $\beta$ -CTF or C99, resulting in altered A $\beta$  production (9,10). From a CNS drug discovery perspective the GSMs of the NSAID class exhibit some general less favorable features however, such as low potency and inefficient BBB penetrance.

Lately, non-NSAID second generation GSMs have been described, which are structurally diverse from the NSAIDs and appear to exhibit improved drug-like properties (11). Kounnas et al reported on the in-vivo active second generation GSM Compound 4, which was > 1000 fold more potent than R-flurbiprofen in-vitro and which did not affect Notch nor E Cadherin signaling in-vitro (12). Moreover, Portelius et al has demonstrated an A $\beta$  modulatory effect of the second generation GSM E2012 in dog brain, providing additional evidence of CNS efficacy mediated by a second generation GSM (6).

The mechanism by which the second generation GSMs modulates A $\beta$  is emerging. In a recent publication the pharmacology of the GSM E2012 and the NSAID class of modulators, respectively, was compared and shown to be differentially effected by Familial Alzheimer's Disease (FAD)-linked PS mutations (13). Inhibitor pull-down experiments with the GSM Compound 4 was shown to precipitate both APP and in particular the  $\gamma$ -secretase subunit Pen-2, suggesting that Pen-2 may be the molecular target of GSM compound 4 (12). During the preparation and revision of this manuscript, three reports were published which suggest that second generation GSMs physically interact with presenilin (PS), and not APP (14,15,16). Collectively these

observations suggest that the first and second generation GSMs may cause A $\beta$  modulation through distinct mechanisms.

In this study we present the characterization of novel in-vivo active GSMs, which do not affect Notch, EphB2 or EphA4 processing, and are much more potent modulators than the NSAID-like class of GSMs. Binding experiments suggest that AstraZeneca (AZ) GSMs interact directly with the  $\gamma$ -secretase complex and not with APP. Displacement binding studies and cellular cross-competition data reveal a non-competitive relationship between the AZ GSMs and the APP targeting NSAID GSMs R-flurbiprofen and sulindac sulfide, but a competitive interaction between AZ GSMs and the second generation GSM, E2012. These pharmacological data provide compelling evidence of a growing class of in vivo active GSMs, which most likely modulate A $\beta$  production via a direct  $\gamma$ -secretase targeting mechanism.

## Material and Methods

Compounds:

DBZ (DiBenzoaZepine) was obtained from Calbiochem, L685458, R-flurbiprofen and Sulindac sulfide were from Sigma Aldrich and Semagacestat was from Selleck Chemicals. The preparation of AZ1136 and AZ3303 is described in the supporting information section. AZ4800 (WO2010053438), E2012 (US20060004013) and MRK-560 was prepared according to published methods. [ $^3$ H]AZ8349 and [ $^3$ H]DBZ were labeled in our laboratories (17).

*In-vitro* cellular A $\beta$  assays

HEK293/APPSwe, HEK/APP, HEK293/PS1 and HEK293/PS2 (18) were exposed to compounds for 5 hours. A $\beta$  generation was analyzed as previously described (19). A $\beta$  was measured using the MSD technology using 6E10 as capture antibody and carboxy terminal specific antibodies for A $\beta$ 42, A $\beta$ 40, A $\beta$ 39, A $\beta$ 38 and A $\beta$ 37, respectively.

*In-vitro* membrane A $\beta$  assays

Membranes were prepared from HEK/APPsw cells cultured in Dulbecco's modified Eagle medium (high glucose) with addition of 10% heat inactivated fetal bovine serum, 100 U/ml penicillin-streptomycin, non-essential amino acids and 10  $\mu$ M Hepes, essentially in

accordance to the published method by McLendon et al (20). In brief, cells grown to 90% confluence were treated with a potent GSI for 20 h, washed three times with ice-cold phosphate buffered saline (PBS pH7.4) and harvested by scraping. Cells were pelleted (centrifugation 10 min at 1000 g), re-suspended in Lysis buffer (50 mM Tris-HCl pH 7.4, 1.0 mM EDTA and complete protease inhibitor (Roche)) and incubated for 15 min on ice. Cell suspension was homogenized (Ultra-Turrax T25, 2 x 20s, 11500 rpm), centrifuged for 10min at 1000 g and post-nuclear supernatant was centrifuged for 45 min at 50 000 g. Membranes were finally re-suspended in assay buffer (MES 50 mM pH 6.4, 1.5 mM MgCl<sub>2</sub>, 75 mM sodium citrate and complete protease inhibitor (Roche)) homogenized (2 x 10 s, 20 000 rpm) and stored at -80°C. Carbonate extracted membranes were prepared by re-suspension of the cell pellets in extraction buffer (0.1 M Sodium Carbonate pH 11) and incubated on ice for 15 min. Ultracentrifugation of the suspension for 45 min at 50 000 g resulted in carbonate extracted membrane pellets which were further re-suspended and homogenized (2x 10 s, 20 000 rpm). The enzymatic reactions were run on membranes with or without Na Carbonate treatment diluted in assay buffer (protein conc. of 1.5 mg/ml). Reaction mixtures were plated in 384-well plates together with test compounds (4 % final DMSO conc.). Reaction was initiated by incubation in 37°C and terminated after 2 h by placing plate on ice. Aβ peptides were analyzed by ECL (MSD).

#### *In-vitro* cellular NICD translocation assay

HEK293 cells stably transfected with an pcDNA3.1hygro vector encoding extracellular truncated human Notch 1 ( $\Delta$ ENotch1) and the N-terminal signal peptide from the full length Notch 1 were used for analysis of  $\gamma$ -secretase mediated Notch processing. Cells were expanded in DMEM plus 10 % FBS and 300  $\mu$ g/ml hygromycin before being cryo preserved in media containing 10 % dimethylsulfoxide. For each experiment, the frozen cells were thawed, washed and re-suspended in fresh media. 10 000 cells/well were plated in 384-well poly-D-lysine coated cell culture plates and incubated over night. The following day fresh cell media containing 3  $\mu$ M lactacystin was added together with test compound diluted 1:200 from prepared

compound dilution plate and incubated 5 h at 37 degrees after which cells were washed, fixed with 4 % paraformaldehyde in PBS and immunocytostained using the primary polyclonal anti-NICD (C-20) antibody and Alexa Flour 594 secondary antibody. Images were captured using the ImageXpress scanner (Molecular Devices) and fluorescence automatic measurements were performed using two different analysis algorithms for the average fluorescence in the nucleus as well as the average fluorescence of a 3  $\mu$ m extra-nuclear ring. The ratio of the nuclear/extra-nuclear fluorescence was calculated/cell and the mean ratio/well calculated. % NICD translocation was expressed relative to 0.5 % DMSO (100 % control) and 500 nM L685458 (21) (0 % control). Each concentration was tested in duplicate in at least two separate experiments.

#### $\gamma$ -secretase substrate expression assay

N-terminally truncated versions of EphA4 (22) (aa531-986) and EphB2 (23) (aa529-986), encoding immediate substrates of  $\gamma$ -secretase, were N- and C-terminally fused to the Preprotrypsin leader peptide and the V5 and c-myc immune-tags, respectively. HEK293 cells were transiently transfected with either of these constructs or with a construct encoding myc-tagged dENotch1 (aa1714-2555), and exposed to DBZ (24) and AZ4800 for 15 hours prior to harvesting in 2x SDS PAGE buffer at 95C for 15 min. The lysates were subjected to standard SDS-PAGE and western blotting procedures. Expressed proteins were identified with an anti-myc antibody (9E10, Invitrogen) and GAPDH immunoreactivity was used to normalize against total protein levels. The experiment was conducted at least 3 times.

#### Cellular cross competition assay

To be able to accurately analyze the cross competition data it was important to have good data coverage around the pIC<sub>50</sub>s of the modulators to be tested. To assure this we prepared a 13 concentration 0.5 log serial dilution of AZ4800 in DMSO, and mixed this with four or five concentrations of the second GSM to be tested (centered around its pIC<sub>50</sub>). The compound mixtures were added to HEK/APP<sup>swe</sup> cells and incubated for 5 h (the final DMSO concentration was 0.5 %). The GSI L685458 or DMSO were added as

controls to measure the  $Z'$  factor for each experiment and only experiments with  $Z' > 0.5$  were analyzed. A $\beta$ 42 was analyzed in the cell media as previously described (19). For the graphical analysis we only plotted the data from the slope and calculated the velocity ( $v$ = molar A $\beta$ 2/min) using a standard curve of synthetic A $\beta$ 42. For non-linear global analysis the complete data set was analyzed.

#### A $\beta$ analysis

A $\beta$  peptides were analyzed using the MSD technology. Briefly, membrane reactions and conditional cell media were transferred to MSD plates with either 6E10 capture antibody or triplex plates with A $\beta$ 40, A $\beta$ 42 and A $\beta$ 38 capture antibodies. Primary sulfo-tagged detection antibodies specific for either x-37, x-39 or the N-terminus of A $\beta$ 1-x (6E10), respectively, were added and the plates incubated over night at 4°C. The following day the plates were processed according to the manufacturer's instructions.

#### Data analysis

For IC<sub>50</sub> determinations, 10 concentration response curves were analyzed using GraphPad Prism with the non-linear regression four-parameter logistic function model. For calculation of % response the data was normalized to maximum and minimum control responses (0.5% DMSO and 0.5  $\mu$ M L685458, respectively). The IC<sub>50</sub>s reported is the average of at least two independent experiments. Cross-competition modulator experiments were analyzed graphically using a linear regression model (Eq.1). The graphs were interpreted using the reciprocal of equation 1, which is a linear function of  $1/v$  versus  $[I_1]$  with a constant value for  $[I_2]$  for each line: if a change in  $[I_2]$  shifts the slope of the fitted line and causes the lines of different  $[I_2]$  to intercept on or to the left of the  $1/v$  axis, simultaneous binding to non-overlapping sites is occurring. However, if the set of lines of different  $[I_2]$  are parallel, the binding of the two compounds is suggested to be mutually exclusive, i.e. competitive (25). The cross competition data was also analysed using non-linear global fitting of models for theoretical two inhibition kinetics (equation 1). Due to the difficulty in determining the cooperativity constant  $\alpha$  with high precision, since it is interrelated with the  $K_i$  values of the GSMs,

we decided to set  $\alpha$  to either 1 (non-competitive) or  $\infty$  (competitive) and determine which of these models that fit the data best by using Akaike's Information Criteria (AICc). Each concentration was tested at least in duplicate in two separate experiments.

$$v = \frac{v_0}{\left[1 + \frac{[I_1]}{K_{i1}} + \frac{[I_2]}{K_{i2}} + \frac{[I_1][I_2]}{\alpha K_{i1}K_{i2}}\right]} \quad (\text{Eq. 1})$$

#### Autoradiography

In-vitro binding autoradiography on tissue sections was adapted for  $\gamma$ -secretase ligands from previously described protocols (26). Briefly, frozen brains from rats and guinea pigs were sectioned (10 $\mu$ m) with a cryostat through the sagittal or coronal plane, air dried and stored at -80°C. Adjacent sections were warmed to room-temperature, pre incubated for 10 minutes at rt in 50 mM tris-buffer (pH 7.4), then transferred to the same buffer containing 1-10 nM of the GSM [<sup>3</sup>H]AZ8349 or the GSI [<sup>3</sup>H]DBZ and incubated for 45 minutes at RT. The sections were subsequently washed several times and finally air-dried. For competition studies, adjacent tissue sections were incubated in the same buffer together with radiotracers and unlabeled GSMs. Samples and plastic tritium standards (*Amersham Bioscience*) were exposed to imaging plates (*Fuji BAS-TR2040*) for 5 days and then processed with a FLA7000 Imaging Reader (Fujifilm). Binding was analyzed with Multigauge software V3.0 (Fujifilm) using the relative optical density values generated from co-exposed tritium standards.

#### Immunohistochemistry

Fresh frozen 10  $\mu$ m thick tissue sections from adult wt, TG2576 (APP<sup>swe</sup>) and APP<sup>swe</sup>/PSdExon9 mice were fixed in 50% acetone for 1 min and 100% acetone for 5 min. The immunohistochemical procedure was carried out using an automated stainer (Ventana Discovery<sup>®</sup> XT staining module, Ventana, Illkirch, France). A primary rabbit polyclonal anti-APP directed antibody (SigmaA8717) diluted 1:3000 was manually applied. The Ventana Omni-ultramap kit was used for detection.

#### In vivo efficacy studies

In-vivo drug administration and A $\beta$ 1-42 analysis 12-18 weeks old female C57BL/6 mice (Harlan Laboratory) were given either

AZ4800 (75, 150 or 300  $\mu\text{mol/kg}$ ), AZ3303 (100 and 300  $\mu\text{mol/kg}$ ) or vehicle by oral gavage (10 ml/kg) (8-9 mice/group). The mice were sacrificed under isoflurane anesthesia 1.5 hrs after administration and the brains were quickly removed, dissected into hemispheres, snap-frozen on dry ice and stored at  $-70^\circ\text{C}$ . Frozen brain hemisphere was homogenized in 0.2 % diethylamine (DEA) with 50 mM NaCl (18  $\mu\text{L/mg}$  wet weight tissue). Brain homogenates were centrifuged at 133,000 g for 1 h. Recovered supernatants were neutralized to pH 8.0 with 2 M Tris-HCl, pH 4-5. Analysis of A $\beta$ 42 content in brain and plasma was performed with the mouse A $\beta$ 1-42 colorimetric ELISA kit, (Invitrogen, Paisly, UK) according to the manufacturer's instructions. All animal experiments were conducted in accordance with relevant regulations and guidelines provided by the Swedish Board of Agriculture. The study was approved by an ethical board specialized on animal experiments.

## Results

### AZ GSMs modulate A $\beta$ generation in cell culture

We have synthesized three novel chemically distinct compounds, which decrease A $\beta$ 42 in tissue culture cells (See chemical structures in Fig. 1 and description of synthesis in SI). In order to explore their mechanism on APP processing, we measured their effect on A $\beta$  peptide generation in HEK/APPswe cells. Interestingly, all three compounds reduced A $\beta$ 40 as well as A $\beta$ 42, but appeared 2 to 15 fold more potent in reducing A $\beta$ 42 levels (AZ4800:  $\text{IC}_{50}=26\pm 6\text{nM}$ , AZ3303:  $\text{IC}_{50}=74\pm 10\text{nM}$ , AZ1136:  $\text{IC}_{50}=990\pm 150\text{nM}$ ) versus A $\beta$ 40 (AZ4800:  $\text{IC}_{50}=60\pm 14\text{nM}$ , AZ3303:  $\text{IC}_{50}=810\pm 70\text{nM}$ , AZ1136:  $\text{IC}_{50}=1400\pm 100\text{nM}$ ) (Fig. 2a). Although the AZ GSMs had similar inhibitory effects on A $\beta$ 40 and A $\beta$ 42 secretion, their effect on A $\beta$ 37-39 differed substantially and a unique A $\beta$  pattern for each of the GSMs was revealed (Fig. 2b-d). AZ4800 and AZ3303 decreased A $\beta$ 39 whereas they increased both A $\beta$ 37 and A $\beta$ 38, but with different potencies and magnitudes. AZ3303 increased A $\beta$ 38 by 550% and A $\beta$ 37 by 300%, while AZ4800 increased

A $\beta$ 37 by 750% and A $\beta$ 38 by 300%. AZ1136, on the other hand, increased both A $\beta$ 39 and A $\beta$ 37 by 250% but did not affect A $\beta$ 38 production. Importantly, total A $\beta$  was not affected by any of the compounds at the concentration range where modulation was observed. The non-NSAID GSM E2012 affected A $\beta$ 40/42 production in a similar manner as the AZ GSMs but caused a selective increase in A $\beta$ 37 (Fig. 2a,e). General toxicity of the compounds were tested using the ViaLight<sup>TM</sup> cell toxicity assay. No toxicity was seen at the concentrations tested (data not shown). We also explored the AZ GSMs in HEK/APP cells and in mouse primary neurons, to make sure that their activity was not dependent on the APPswe mutant nor on over-expressed APP. All compounds retained their modulatory activity in HEK/APP cells and did also decrease A $\beta$ 42 levels in mouse primary neurons (Fig. S1, S2). In addition, we explored the activity of the compounds in HEK293 cells stably expressing either presenilin 1 (PS1) or PS2, i.e. the catalytical subunit of  $\gamma$ -secretase (18). All three AZ GSMs as well as E2012 modulated A $\beta$  production generated by both PS subtypes but displayed a higher potency on A $\beta$ 42 inhibition in PS2- compared to PS1 over-expressing cells (Fig. S3). In contrast, the NSAIDs R-flurbiprofen and sulindac sulfide modulated A $\beta$  production non-selectively in the same assay (Fig. S3). These cell culture experiments suggest that AZ4800, AZ1136 and AZ3303 are true A $\beta$  modulators which lower or increase both PS1 and PS2 catalyzed production of some A $\beta$  peptides, without affecting the total amount of A $\beta$  being produced.

### AZ GSMs retain A $\beta$ modulatory activity in cell-free assays

To further investigate the pharmacological mechanism of the AZ GSMs, we asked whether their impact on A $\beta$  production would require a native cellular context. To address that question, we prepared membranes from HEK/APPswe cells with accumulated APP-derived  $\gamma$ -secretase substrate according to a method previously described by McLendon et al (20). Part of the non-detergent membrane preparation (denoted TM, for total membranes) was further treated with sodium

carbonate at pH 11 (denoted CEM, for carbonate extracted membranes) in order to remove non-membrane integral proteins. We incubated these membranes with different concentrations of AZ4800 and AZ1136 and analyzed the levels of A $\beta$  37, A $\beta$ 38, A $\beta$ 40 and A $\beta$ 42 in both TM and CEM preparations with the same method as described for the cell culture experiments discussed above. Both GSMs had similar effects on TM and CEM A $\beta$  production. Although the potency for the compounds differed compared to the cellular assay, AZ4800 inhibited both A $\beta$  40 and A $\beta$  42 production whereas it increased A $\beta$ 37 and A $\beta$ 38 levels, which is in accordance with the data obtained using the cellular assay (Fig. 2g). AZ1136 also caused a clear inhibition of A $\beta$ 42 and, an increase in A $\beta$  37 levels, as observed in the cellular assay (Fig. 2f). For this compound, a slight increase in A $\beta$  38 was also noted, which was not observed in the cellular assay. To verify that the carbonate extraction had worked, we analyzed the specific activity of the membrane batches before and after treatment and as expected the specific activity increased in the carbonate treated membranes, indicating that the treatment led to removal of protein content (Fig. S4). Overall these experiments suggest that AZ GSMs modulate A $\beta$  directly at the level of APP processing and, that they are not dependent on a native cellular context.

#### **AZ GSMs do not affect $\gamma$ -secretase mediated processing of Notch, EphA4 and EphB2**

In the next experiments we tested the selectivity of GSMs with regard to  $\gamma$ -secretase dependent Notch, EphA4 and EphB2 processing. To study Notch processing and signaling, we developed a cellular assay using HEK293 cells stably transfected with an N-terminally extracellularly truncated human Notch 1 receptor ( $\Delta$ ENotch1) and measured the distribution of the Notch intracellular domain (NICD) using immunocytochemistry and an antibody raised against NICD. Under normal conditions,  $\gamma$ -secretase causes the release of NICD which translocates to the nucleus, whereas in the presence of several established  $\gamma$ -secretase inhibitors such as L685458, DBZ and MRK-560 (21, 24, 27), NICD is clearly located outside the nuclei (Fig. S5a,b). To quantify the level of NICD

processing and translocation we measured the fluorescence intensity inside as well as outside the nuclei and, calculated the ratio of nuclear/cytosolic fluorescence for each cell. None of the GSMs showed any inhibition of the NICD translocation in contrast to the potent GSIs L685458, DBZ, MRK-560 and Semagacestat which all inhibited NICD translocation with high potency. (Fig. 3a). Thus, these data suggest that the AZ GSMs display an approximately 1000 fold preference for A $\beta$  modulation over NICD formation. We next explored the impact of AZ4800 on the expression of N-terminally truncated EphA4 and EphB2. Similar to  $\Delta$ ENotch (Fig 3b) both EphA4 and EphB2 levels were increased in the presence of the GSI DBZ, suggesting that these constructs are bonafide  $\gamma$ -secretase substrates, which turnover are regulated by  $\gamma$ -secretase. AZ4800 did not accumulate any of the proteins at a dose that displays full modulation of A $\beta$  (Fig.3b). These data suggests that AZ4800 and second generation GSMs are selective for A $\beta$  modulation.

#### **Second generation GSMs modulate A $\beta$ production via a mechanism that is distinct from the NSAID class of GSMs.**

In order to compare the activity of AZ GSMs with the well-characterized NSAIDs R-flurbiprofen and sulindac sulfide, we developed a cellular assay based on a biochemical inhibitor cross-competition assay (25). The cross-competition method provides pharmacological mechanistic information as to whether two inhibitors compete for binding to one site on a target molecule, or bind to two independent sites of the target enzyme or an associated protein. To evaluate this we exposed HEK293 cells expressing the Swedish mutation of APP to different compounds and measured the effect of binary combinations of GSMs on A $\beta$ 42 levels after 5 hour treatments. The results were analysed graphically using linear regression of reciprocal 1/v plots as well as quantitatively with non-linear regression. For the graphical analysis, a parallel shift of the regression lines indicates competitive binding while intercepting lines indicate a degree of non-competitiveness between the two compounds tested. We tested all GSMs against AZ4800. AZ4800 in combination with itself, AZ3303, AZ1136 and E2012, respectively, showed a clear parallel shift of the plotted

lines indicating AZ4800-competitive binding (Fig. 4a-d). Interestingly, AZ4800 in combination with R-flurbiprofen or sulindac sulfide produced non-parallel, intercepting lines indicating non-competitive interactions (Fig. 4e-f). Non-linear global fitting of the data further confirmed the results from the graphical analysis (Fig. S6).

#### **The distribution of the AZ GSM molecular target of overlaps with $\gamma$ -secretase**

Next, we conducted a series of autoradiography binding studies to further explore the mode of action by which AZ4800, AZ3303 and AZ1136 modulates  $\gamma$ -secretase mediated  $A\beta$  production. We tritium-labeled the GSI DBZ (17) and an analogue to the GSM AZ4800, AZ8349 (see structures in fig 1), and incubated them with brain slices from guinea pig in presence and absence of cold inhibitor and modulator, respectively. The anatomical regions with highest binding of [ $^3$ H]AZ8349 were associated with the subventricularzone (SVZ), an area with previously documented high levels of  $\gamma$ -secretase in the adult rodent brain (Fig. 5a). This is also in agreement with the previously shown binding distribution of the GSI [ $^3$ H] compound D (28). High binding was also observed throughout cortex and the hippocampal formation, most densely in the dentate gyrus but also in striatum, thalamus and cerebellum. Binding to white matter regions was in general lower. [ $^3$ H]AZ8349 could be efficiently displaced by its non- $^3$ H labeled precursor, suggesting that the signal was saturable (Fig. 5b). On adjacent sections, [ $^3$ H]DBZ showed similar binding distribution as [ $^3$ H]AZ8349, exemplified by the notably strong binding associated to the SVZ (Fig. 5c). To address whether APP expression had any impact on the interaction of [ $^3$ H]AZ8349 to brain slices, we next performed both immunohistochemical studies with anti-APP antibodies and binding studies with [ $^3$ H]AZ8349 on adjacent brain slices from TG2576 mice and wt littermates, respectively. As expected, a strong APP-like immunoreactivity was obtained in brain slices from TG2576 whereas a much weaker staining was obtained with tissues from wt mice, reflecting the high APP expressing levels in the brains of TG2576 (Fig. 5d-g). [ $^3$ H]AZ8349, on the other hand, bound to both TG2576 and the wt littermates with similar intensity and

anatomical distribution, in accordance with what was observed in brain slices from guinea pig. Very similar results were also obtained when assessing APP<sup>sw</sup>/PSdExon9 mice (Fig. S7), These data clearly suggest that APP does not impact the interaction of [ $^3$ H]AZ8349 with its target.

#### **First and second generation GSMs display different binding sites**

To further investigate the different mechanism of the first and second generation GSMs, we conducted displacement binding studies on rat brain slices. Approximately 50% of the total [ $^3$ H]AZ8349 binding was specific, as determined by running the reaction in the presence and absence, respectively, of 10  $\mu$ M un-labeled AZ8349. In the presence of 10  $\mu$ M of either AZ4800, AZ8163 and E2012, [ $^3$ H]AZ8349 (5 nM) was displaced by 32%, 51% and 50% respectively, in other words, by approximately the same magnitude as in the presence of excess un-labeled AZ8349 (Fig. 6). However, neither R-flurbiprofen nor sulindac sulfide (500 and 100  $\mu$ M, respectively) showed any displacement of [ $^3$ H]AZ8349 (Fig. 6a-b). These data strongly suggest that the AZ GSMs share the same molecular target and that it is different from that of the NSAID class of GSMs.

#### **AZ GSMs decrease $A\beta$ 42 in the brain of C57BL/6 mice**

Both AZ3303 and AZ4800 exhibit drug-like properties, warranting in-vivo testing. In the next series of experiments we asked whether these GSMs could decrease  $A\beta$ 42 levels in the brain of wild type (C57BL/6) mice. AZ3303 and AZ4800 were administered as a single dose at different concentrations by oral gavage. The free concentration of AZ3303 in the brain were 120 $\pm$ 30 nM and 570 $\pm$ 210 nM and for AZ4800 130 $\pm$ 35 nM, 340 $\pm$ 80 nM and 880 $\pm$ 230 nM, respectively, at the doses tested. The brain/plasma ratio for AZ3303 and AZ4800 were 0.73 and 2.4, respectively (average of doses).  $A\beta$ 42 levels were analyzed in diethyl amine extracted brain homogenates 1.5 hours post drug administration. Both AZ3303 and AZ4800 reduced  $A\beta$ 42 in a dose dependent manner (Fig. 7a-b) by up to 25% for AZ3303 and up to 46% for AZ4800. These

data show that both compounds readily reach the brain and exhibit expected CNS A $\beta$ 42 lowering activity.

## Discussion

Recent failures in clinical trials with  $\gamma$ -secretase directed inhibitors, most likely because of mechanism based toxicity as a result of impaired Notch signaling, and with the low potency A $\beta$  modulator Tarenflurbil (i.e. R-flurbiprofen), highlight the need for alternative and tolerable therapeutic interventions at the level of A $\beta$  production (3,7). In this report we describe several novel drug-like molecules (AZ GSMs), which lower A $\beta$ 42 levels in-vivo and with nM potency in cell culture experiments without affecting total A $\beta$  levels nor the turnover of the  $\gamma$ -secretase substrates Notch, EphA4 nor EphB2. Moreover, a [ $^3$ H] labeled GSM does not co-localize with APP in the mouse brain but rather displays an excellent overlapping binding pattern with a  $\gamma$ -secretase targeting GSI in the rodent brain. Finally, the AZ GSMs exhibit both structural and pharmacologic characteristics which are different from the NSAID class of APP targeting GSMs, but similar to the second generation GSM E2012. Combined our pharmacological data describes a growing class of second generation GSMs, which mode of action is different from that of the first generation NSAID-class of GSMs and appear to target  $\gamma$ -secretase rather than APP.

The specific mechanism by which these novel GSMs modulates A $\beta$  production remains elusive, but a number of observations suggest that they act at the level of  $\gamma$ -secretase. First, they retain the pharmacology of A $\beta$  modulation in an assay using sodium carbonate washed membranes, which suggest that the molecular target is restricted to the membrane and membrane integral proteins. Secondly, binding studies using a [ $^3$ H] labeled GSM analogue revealed particularly strong labeling in the sub ventricular zone, but also throughout the cortex, hippocampus, striatum, thalamus and cerebellum in mouse, rat and guinea pig brain sections. This expression pattern is indistinguishable from that obtained with the PS targeting non-transition state GSI [ $^3$ H]-DBZ, and is in line with a number of  $\gamma$ -

secretase expression studies and autoradiographic studies using  $\gamma$ -secretase selective molecular tools such as antibodies and radio-labeled GSIs (28, 29, 30). Thus, these observations strongly suggest that this GSM analogue interacts either with the  $\gamma$ -secretase complex itself, or with a specific  $\gamma$ -secretase associated protein, such as the recently identified gamma secretase activating protein, GSAP (31). These findings stand in contrast to the mechanism of action described for the most well characterized class of GSMs, the NSAIDs. A growing body of data from a variety of biochemical experiments, such as cross-linking studies, suggests that GSMs of the NSAID class rather interact with APP than with  $\gamma$ -secretase (9, 10). Mechanistically, these molecules have been shown to affect A $\beta$  production by interfering with the dimerization of the transmembrane domain (TMD) of APP, which may result in an increased efficiency of  $\gamma$ -secretase mediated processing of the APP TMD beyond A $\beta$ 40 and A $\beta$ 42, generating the shorter A $\beta$ 37 and A $\beta$ 38 peptides (10). Although this is a very elegant and tractable explanation to the GSM mode of action, several observations in our work suggest that GSMs of the NSAID class and the novel GSMs described in this work modulate A $\beta$  production via separate mechanisms. First, R-flurbiprofen and sulindac sulfide could neither displace the [ $^3$ H]-GSM AZ analogue from rat brain tissue sections, suggesting that the binding site of R-flurbiprofen and sulindac sulfide is different from that of [ $^3$ H]-AZ GSM. Indeed, binding of [ $^3$ H]-GSM AZ was not affected by APP over expression in APP TG mouse brain suggesting that the AZ GSMs characterized in this study do not bind to APP. Secondly, the GSMs of the NSAID class (R-flurbiprofen, and sulindac sulfide) and AZ4800 display a non-competitive relationship in a cell-based cross-competition assay for A $\beta$ 42 production. Thirdly, AZ4800 displays a competitive interaction in the same assay vs AZ3303 and AZ1136. Fourth, all AZ GSMs display a preference for PS2 expressing  $\gamma$ -secretases whereas R-flurbiprofen and Sulindac sulfide do not. Taken together these observations suggest that the currently described GSMs of the non-NSAID type represents a class of A $\beta$  modulators with a mode of action distinct from that of the NSAID class of GSMs. Interestingly, recently

we learned that the A $\beta$  modulatory activity of E2012 and the NSAIDs class of GSMs, respectively, are differentially affected by a number of FAD causing PS mutants. Those observations indicate that the E2012 mode of action (MOA) is different from that of GSMs of the NSAID class (13). In our experiments E2012 displays a clear competitive relationship with AZ4800 and, similar to the AZ GSMs explored in this study, displays more potent GSM activity towards PS2-over expressing cells compared to those expressing PS1. These data indicate the presence of several classes of GSMs with distinct MOA, where E2012 and the novel GSMs reported in this study may share a similar mechanism of A $\beta$  modulation. During the preparation and revision of this manuscript, four separate publications were reported which support that hypothesis. By using chemical cross-linking and GSM pull-down experiments, 2<sup>nd</sup> generation GSMs were shown to interact directly with the  $\gamma$ -secretase subunit Pen-2 or PS NTF (12, 14, 15, 16). Thus, a growing number of experiments suggest that second generation GSMs appear to modulate A $\beta$  via a direct  $\gamma$ -secretase targeting mechanism. Moreover, similar to our observations on AZ GSMs and E2012, Ebke et al found that GSM RO-57-BpB appears more potent on PS2 compared to PS1 secretases (14). Currently we do not have an explanation to the preferred modulation of PS2 over PS1  $\gamma$ -secretases by AZ GSMs. Such information would be of interest and could potentially provide valuable guidance to the generation of GSMs with improved efficacy, since PS1 appears to be the major A $\beta$  generating enzyme of the brain (). Although our data strongly suggest that the AZ GSMs modulate A $\beta$  production via targeting  $\gamma$ -secretase, their specific mode of action remains to be determined. The finding that each molecule has a similar effect on A $\beta$ 40 and A $\beta$ 42 production but that their effect and efficacy on shorter A $\beta$  peptides differs substantially is intriguing. Whether these GSMs affect APP dimerization indirectly via targeting  $\gamma$ -secretase, or whether they affect A $\beta$  generation via a distinct mechanism, not involving APP dimerization, remains to be elucidated. Recently, Ihara and colleagues described the concept of A $\beta$  product lines,

where the epsilon cleavage of APP is followed by a  $\gamma$ -secretase mediated cleavage event every third to fourth amino acid in the APP trans-membrane spanning helices, which causes the release of A $\beta$  peptides of different lengths (32). Future studies will clarify how the second generation GSMs affect APP processing according to this model of  $\gamma$ -secretase mediated A $\beta$  production.

A feature of the original discoveries with GSMs of the NSAID type was their Notch sparing capacity (4). This characteristic is also inherited in the AZ GSMs. All compounds display an approximately 1000-fold selectivity window when comparing their A $\beta$ 42 lowering activity with their effect on the nuclear translocation of the Notch intracellular domain, which is dependent on  $\gamma$ -secretase activity. In addition, AZ4800 did not affect  $\gamma$ -secretase processing of EphB2 and EphA4 (22, 23). This finding is of particular importance given the complex spectra of adverse events identified in clinical trials with GSI:s. Thus, these data suggest that  $\gamma$ -secretase targeting GSMs may provide a much better therapeutic window compared to GSIs. Importantly, we also show that AZ4800 and AZ3303 display good brain penetrating properties and can modulate A $\beta$ 42 levels in the brain of C57BL/6 mice after acute oral administration, without any overt side effects. These encouraging data show that this novel class of GSMs is more potent than the first generation GSMs and, that they could penetrate BBB and rapidly decrease brain A $\beta$ 42 levels.

In summary, we have discovered novel, potent and in-vivo active compounds which could modulate A $\beta$  levels while sparing EphA4, EphB2 and Notch processing, a major challenge for  $\gamma$ -secretase directed drugs in AD. Our pharmacological data strongly suggest that these compounds act at the level of  $\gamma$ -secretase rather than APP, as proposed for the first generation GSMs, and thus represent molecules exhibiting a novel mode of action for targeting A $\beta$  production in AD therapeutics.

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**Figure legends:**

**Fig1.** Chemical structures of the GSMs explored in this study. (A) AZ4800, (B) AZ3303, (C) AZ1136, (D) E2012, (E) sulindac sulfide, (F) R-flurbiprofen, (G) [<sup>3</sup>H]AZ8349 and (H) [<sup>3</sup>H]DBZ

**Fig 2.** Effect of second generation GSMs on APP processing in cellular and cell-free assays. (A) A $\beta$ 40 and A $\beta$ 42 measurement in conditioned media from HEK293/APPswe cells. All AZ GSMs and GSM E2012 inhibit A $\beta$ 42 (black) and A $\beta$ 40 (grey) generation. (B-F) A $\beta$  measurement in conditioned media from HEK293/APPswe cells. (B) AZ1136 increases the levels of A $\beta$ 37 and A $\beta$ 39, (C) AZ4800 increases A $\beta$ 37 > A $\beta$ 38 and lowers A $\beta$ 39, (D) AZ3303 increases A $\beta$ 38 > A $\beta$ 37 and lowers A $\beta$ 39, (E) E2012 increases A $\beta$ 37. (F) AZ1136 and (G) AZ4800 display A $\beta$  modulation in cell membranes derived from HEK293/APPswe cells. % A $\beta$  release is set relative to 0.5% DMSO (100%) and 0.5  $\mu$ M L685458 (0%) controls (mean $\pm$ SEM, n=2).

**Fig 3.** Effect of second generation GSMs on Notch, EphB2 and EphA4 processing.

(A) None of the AZ GSMs (square, triangle and X) or E2012 (O) affect Notch processing whereas the GSIs L685458, DBZ, MRK-560 and Semagacestat do, as measured by quantifying the amount of nuclear translocated NICD in HEK293 cells stably transfected with  $\Delta$ ENotch using immunocytochemistry. % NICD translocation is set relative to 0.5% DMSO (100%) and 0.5  $\mu$ M L685458 (0%) controls (mean $\pm$ SEM, n=2). (B) HEK 293 cells, transiently transfected with myc-tagged EphB2, EphA4 and dENotch constructs, were exposed to 100 nM DBZ or 1  $\mu$ M 4800 for 15 hours prior to western blot analysis. DBZ, but not AZ4800, results in accumulation of respective protein, as explored with an anti-myc antibody (left panel). Each western were probed with an anti-GAPDH antibody to control for loaded protein (right panel). The figure shows one representary blot out of at least 3 independent experiments.

**Fig 4.** Pharmacological interaction between first and second generation GSMs. Graphical analysis of modulator cross-competition in a cellular assay for A $\beta$ 42. (A-F) Graphs display AZ4800 vs: (A) AZ4800, (B) AZ3303, (C) AZ1136, (D) E2012, (E) R-flurbiprofen and (F) sulindac sulfide. Parallel shift of the lines (A-D) indicates competitive binding to the same or overlapping sites whereas intercepting lines (E-F) suggest binding non-competitive with AZ4800. Lines are fitted to the data by linear regression (mean $\pm$ SEM, n=2). v is amount A $\beta$ 42 generated/min analyzed in conditioned media from HEK/APPswe cells.

**Fig 5.** Binding distribution of [ $^3$ H]labeled GSM and GSI to rodent brain sections and comparison to APP immunohistochemistry. 5nM [ $^3$ H]GSM AZ8349 (A) and 5nM of [ $^3$ H]GSI DBZ (C) display excellent anatomical binding overlap to sagittal brain sections from guinea pig. Note very high binding associated to the subventricular zone (SVZ). Non-specific binding (5nM [ $^3$ H]GSM AZ8349 + 5 $\mu$ M unlabeled GSM8349 is shown (B). (D-G) images of immunohostochemistry detecting APP in coronal

brain sections from TG2576 mice (E-G) and WT controls (D-E). Note the stronger overall APP-like immunoreactivity in TG2576 mice compared to WT. In contrast, [<sup>3</sup>H]GSM AZ8349 have no increased binding in TG2576 (I) compared to WT (H). Quantification of binding in the autoradiograms as optical density (PSL/mm<sup>2</sup>) is shown in the bar-graphs.

**Fig. 6.** Displacement of [<sup>3</sup>H]GSM by different GSMs on rat cryo-cut brain sections. (A) Rat slices (coronal, 10µm) were either incubated with 5 nM [<sup>3</sup>H]AZ8349 alone (i), together with 0.5 mM R-flurbiprofen (ii) or together with 1 µM AZ4800 (iii). AZ4800, but not R-flurbiprofen, could displace the specific binding of [<sup>3</sup>H]AZ8349. (B) A graphical display illustrating the displacement binding studies of 5nM [<sup>3</sup>H]AZ8349. Both AZ GSMs (10 µM) and E2012 displace [<sup>3</sup>H]AZ8349 whereas neither sulindac sulfide 0.1 mM nor R-flurbiprofen does (0.5 mM), indicating distinct interaction points. Binding is quantified as PSL/mm<sup>2</sup> and data presented as mean±SEM, n=3.

**Fig. 7.** Effect of AZ GSMs on brain Aβ<sub>42</sub> levels in C57BL/6 mice.

Acute p.o. dosing of (A) AZ3303 (100 and 300 µmol/kg) and (B) AZ4800 (75, 150 and 300 µmol/kg) cause a statistically significant decrease of Aβ<sub>42</sub> levels in DEA-extracted brain homogenates at 1.5 hrs post drug administration compared to the vehicle group in 12-18 weeks old female C57BL/6 mice (n= 8-9 mice/group). Statistical analysis: One-way ANOVA followed by Dunnett's Multiple Comparison Test (\*\*P<0.01, \*P<0.05).

Fig. 1

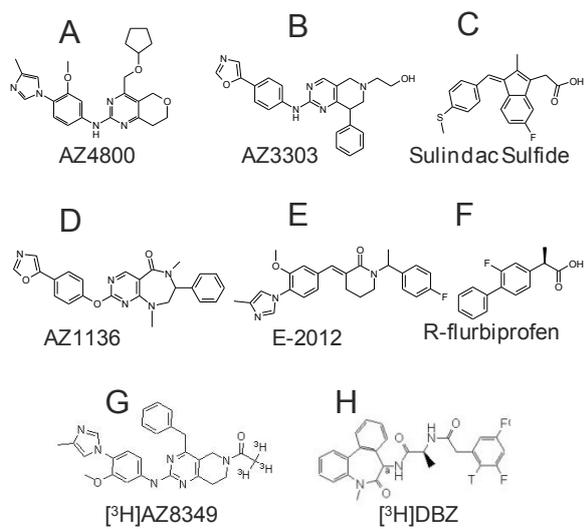


Fig. 2

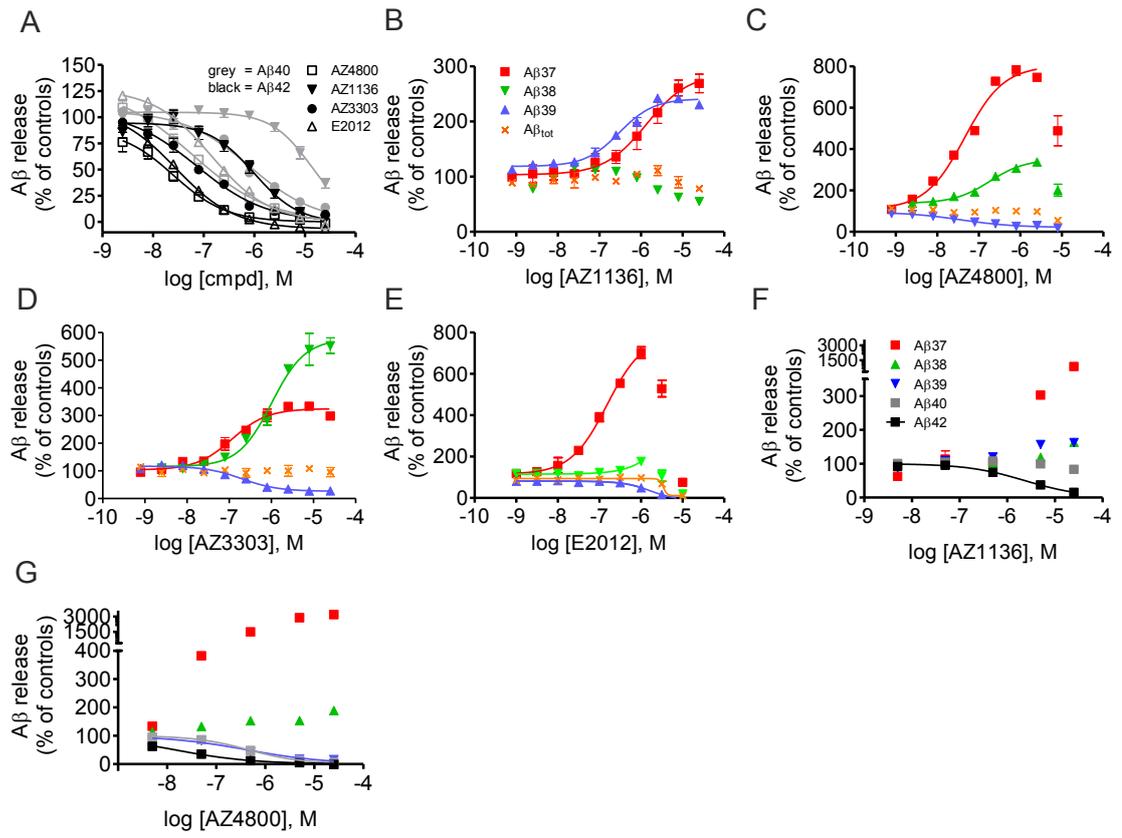
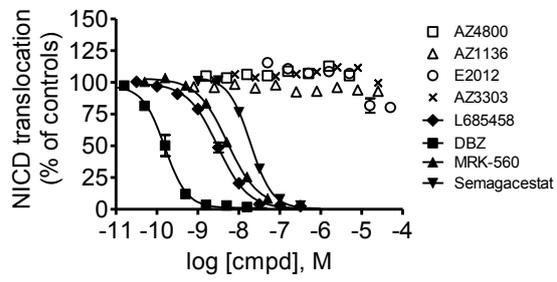


Fig. 3

A



B

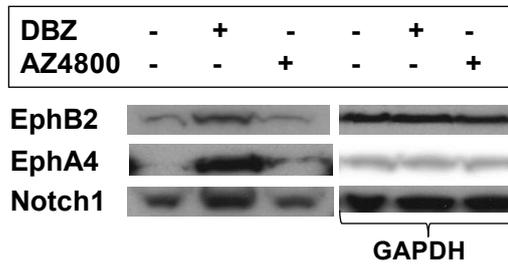


Fig. 4

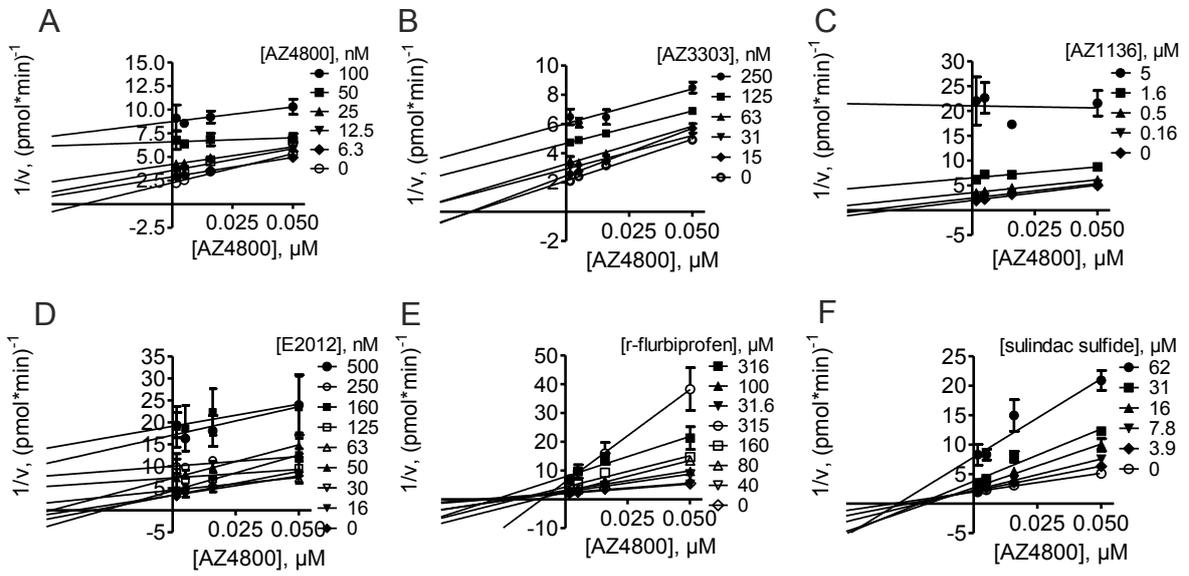


Fig. 5

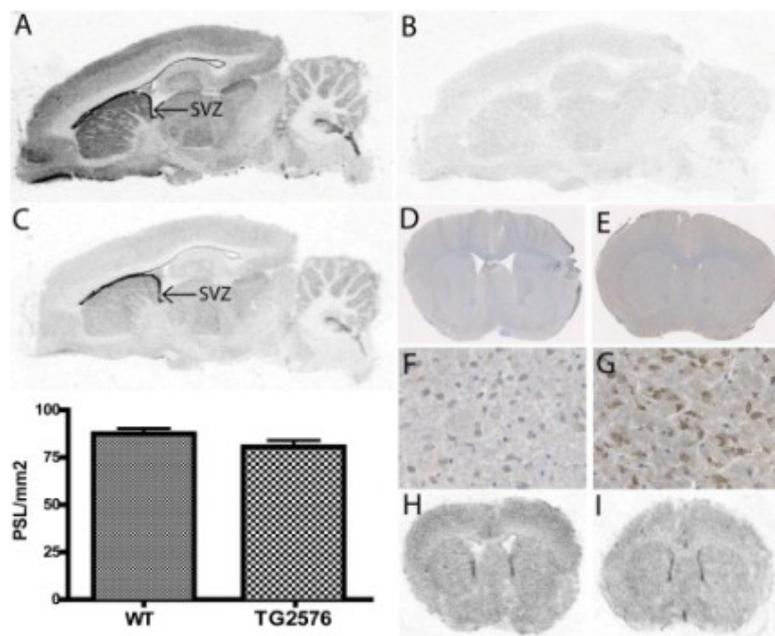


Fig 6.

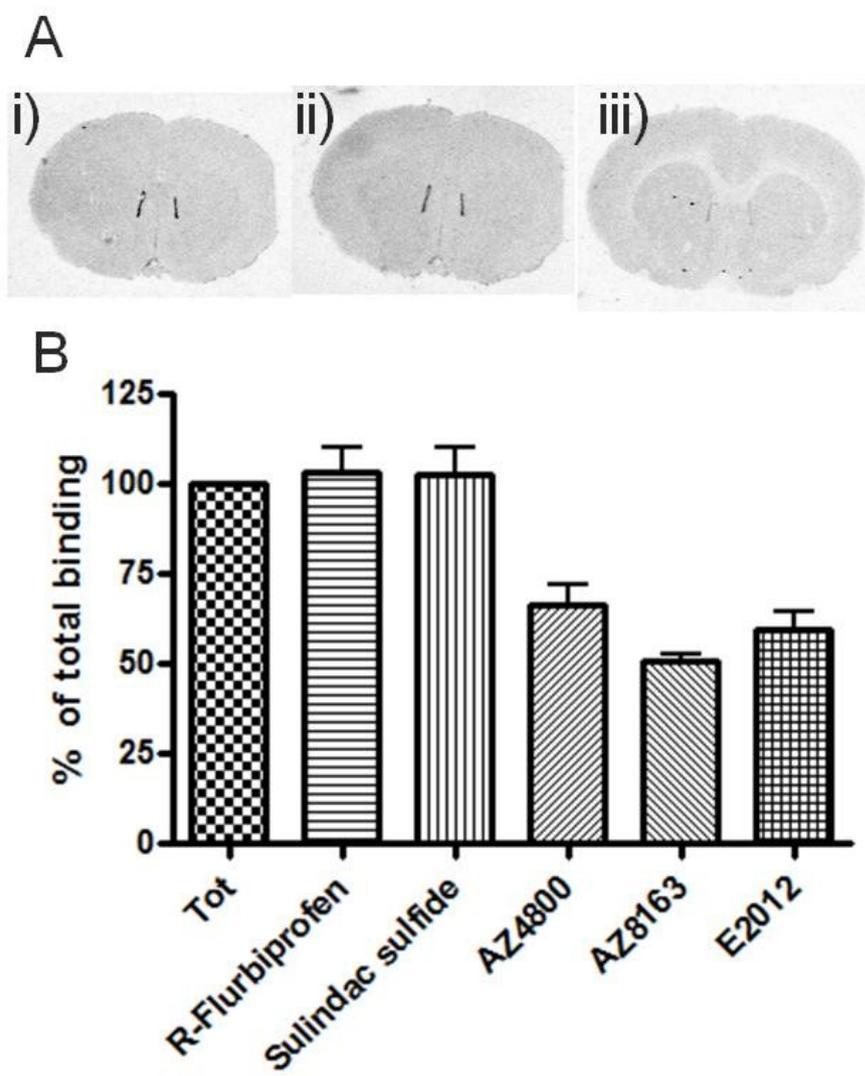


Fig. 7

